

Non-Wellfounded Derivations for Intersection Subtyping with Fixpoints

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Outline

Intersection type systems and BCD subtyping

Sequent calculus IS for BCD subtyping

Extending IS with fixpoints

Instances

Conclusion and future directions

Intersection types

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\cap is associative and commutative.

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- allow typing more terms and characterizing various *qualitative* properties of reduction, such as strong normalization;
- yield models of the λ -calculus called *filter models*;
- provide a way to study *quantitative* properties of reduction, such as the number of reduction steps \leftrightarrow non-idempotent intersection types.

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In this talk, \cap is idempotent, that is, $A \cap A \sim A$.

Intersection type systems: typing

We consider *extensions* of the **BCD intersection type system** [1983].

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These extensions share the same set of typing rules but differ in the **subtyping** relation \leq that parameterized them.

$$\frac{}{\Gamma, x : A \vdash x : A} \textit{var} \qquad \frac{\Gamma, x : A \vdash t : B}{\Gamma \vdash \lambda x. t : A \rightarrow B} \textit{abs}$$

$$\frac{\Gamma \vdash t : A \rightarrow B \quad \Gamma \vdash u : A}{\Gamma \vdash t u : B} \textit{app}$$

$$\frac{\Gamma \vdash t : A \quad \Gamma \vdash t : B}{\Gamma \vdash t : A \cap B} \textit{inter} \qquad \frac{}{\Gamma \vdash t : \Omega} \textit{omg}$$

$$\frac{\Gamma \vdash t : A \quad A \leq B}{\Gamma \vdash t : B} \textit{sub}$$

Intersection type systems: subtyping

The subtyping relation includes the following rules:

$$\begin{array}{c} \frac{}{A \leq A} \quad \frac{A \leq B \quad B \leq C}{A \leq C} \quad \frac{}{A \leq \Omega} \\ \\ \frac{}{A \cap B \leq A} \quad \frac{}{A \cap B \leq B} \quad \frac{}{A \leq A \cap A} \quad \frac{A \leq C \quad B \leq D}{A \cap B \leq C \cap D} \\ \\ \frac{C \leq A \quad B \leq D}{A \rightarrow B \leq C \rightarrow D} \quad \frac{}{(C \rightarrow A) \cap (C \rightarrow B) \leq C \rightarrow (A \cap B)} \quad \frac{}{\Omega \leq \Omega \rightarrow \Omega} \end{array}$$

We write $A \sim B$ if $A \leq B$ and $B \leq A$.

Properties of intersection type systems

It is known from the literature that we always have:

- **subject β -expansion:** if $t \rightarrow_{\beta} u$ then $\Gamma \vdash u : A \Rightarrow \Gamma \vdash t : A$.
- **subject η -reduction:** if $t \rightarrow_{\eta} u$ then $\Gamma \vdash t : A \Rightarrow \Gamma \vdash u : A$.

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These properties require additional assumptions.

β -condition η -condition

The β -**condition**, stated as follows,

$$\bigcap_{i \in I} A_i \rightarrow B_i \leq A \rightarrow B \implies \exists J \subseteq I, \quad A \leq \bigcap_{j \in J} A_j \quad \wedge \quad \bigcap_{j \in J} B_j \leq B \quad (\beta)$$

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However, checking the β -condition is still complicated...

(ii) By induction on the definition of \leq one can show for $n, n', m, m' \geq 0$ that for all $l \in \{1, \dots, n'\}$ one has

$$\begin{aligned} & [(\mu_1 \rightarrow \nu_1) \cap \dots \cap (\mu_n \rightarrow \nu_n) \cap \varphi_{j_1} \cap \dots \cap \varphi_{j_m} \\ & \leq (\sigma_1 \rightarrow \tau_1) \cap \dots \cap (\sigma_{n'} \rightarrow \tau_{n'}) \cap \varphi_{j'_1} \cap \dots \cap \varphi_{j'_{m'}} \cap \omega \cap \dots \cap \omega, \\ & \text{and } \tau_l \not\leq \omega \Rightarrow \exists i_1, \dots, i_k \in \{1, \dots, n\} \mu_{i_1} \cap \dots \cap \mu_{i_k} \geq \sigma_l \\ & \text{and } \nu_{i_1} \cap \dots \cap \nu_{i_k} \leq \tau_l]. \end{aligned}$$

η -condition and extensions of BCD subtyping

The η -**condition**, stated as follows,

$$\forall X \in \mathcal{A}, \quad \exists (A_i)_{i \in I} (B_i)_{i \in I}, \quad X \sim \bigcap_{i \in I} A_i \rightarrow B_i \quad (\eta)$$

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Here are some systems from the literature we will be able to address:

Name	Atoms	Additional axioms		β	η
BCD	\mathcal{A}			✓	
Scott	\mathcal{A}		$X \sim \Omega \rightarrow X$	✓	✓
Park	\mathcal{A}		$X \sim X \rightarrow X$	✓	✓
CDZ	\mathbb{B}	$\varphi \leq \psi$	$\varphi \sim \psi \rightarrow \varphi \quad \psi \sim \varphi \rightarrow \psi$	✓	✓
HR	\mathbb{B}	$\varphi \leq \psi$	$\varphi \sim \psi \rightarrow \varphi \quad \psi \sim (\varphi \rightarrow \varphi) \cap (\psi \rightarrow \psi)$	✓	✓
DHM	\mathbb{B}	$\varphi \leq \psi$	$\varphi \sim \Omega \rightarrow \varphi \quad \psi \sim \varphi \rightarrow \psi$	✓	✓
TLCA	\mathbb{B}		$\varphi \sim \psi \rightarrow \varphi \quad \psi \sim (\psi \rightarrow \psi) \cap (\varphi \rightarrow \psi)$	✓	✓

where $\mathbb{B} = \{\varphi, \psi\}$.

Toward a transitivity-free presentation

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In 1989, Pierce proposed an algorithm for BCD subtyping, which can actually be presented as a sequent-style system.

The sequent system IS

Sequents: $A \triangleleft \Gamma \vdash B$, where Γ is a list of types.

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$$\frac{}{X \triangleleft \vdash X} \text{ax} \qquad \frac{}{C \triangleleft \Gamma \vdash \Omega} \Omega$$

$$\frac{C \triangleleft \Gamma \vdash A \quad C \triangleleft \Gamma \vdash B}{C \triangleleft \Gamma \vdash A \cap B} \cap R$$

$$\frac{A \triangleleft \Gamma \vdash C}{A \cap B \triangleleft \Gamma \vdash C} \cap L_1 \qquad \frac{B \triangleleft \Gamma \vdash C}{A \cap B \triangleleft \Gamma \vdash C} \cap L_2$$

$$\frac{C \triangleleft \Gamma, A \vdash B}{C \triangleleft \Gamma \vdash A \rightarrow B} \rightarrow R \qquad \frac{C \triangleleft \vdash A \quad B \triangleleft \Gamma \vdash D}{A \rightarrow B \triangleleft C, \Gamma \vdash D} \rightarrow L$$

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Cuts admissibility and equivalence

Theorem (Cuts admissibility)

The following cut rules are admissible in IS:

$$\frac{A \triangleleft \Gamma \vdash B \quad B \triangleleft \Delta \vdash C}{A \triangleleft \Gamma, \Delta \vdash C} \text{ tcut} \quad \frac{A \triangleleft \vdash B \quad C \triangleleft \Gamma, B, \Delta \vdash D}{C \triangleleft \Gamma, A, \Delta \vdash D} \text{ scut}$$

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Theorem (Equivalence between BCD and IS)

We have $A \leq B$ in BCD if and only if $A \triangleleft \vdash B$ has an IS-derivation.

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Theorem (Equivalence between BCD and IS)

We have $A \leq B$ in BCD if and only if $A \triangleleft \vdash B$ has an IS-derivation.

We can extend BCD and IS with a preorder \preceq on atoms, by adding the following two rules, respectively. This yields the systems BCD_{\preceq} and IS_{\preceq} .

$$X < Y \quad \frac{}{X \leq Y} \quad X \preceq Y \quad \frac{}{X \triangleleft \vdash Y} \preceq$$

$\text{BCD}_{\preceq}^{\delta}$ and the non-wellfounded system $\text{IS}_{\preceq}^{\delta}$

In addition to \preceq , we consider a function δ from atoms to types that defines "fixpoint" equations $X \sim \delta X$.

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$\text{IS}_{\leq}^{\delta}$ extends IS_{\leq} by adding the following **unfolding** rules:

$$\frac{\delta X \triangleleft \Gamma \vdash_{\delta} B}{X \triangleleft \Gamma \vdash_{\delta} B} \mathcal{AL} \qquad \frac{A \triangleleft \Gamma \vdash_{\delta} \delta X}{A \triangleleft \Gamma \vdash_{\delta} X} \mathcal{AR}$$

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and by replacing the (\leq) rule with the following **checkpoint** rule:

$$X \leq Y \quad \frac{X \triangleleft \vdash_{\delta} Y}{X \triangleleft \vdash_{\delta} Y} \mathcal{CP}$$

IS_{\llcorner}^{δ} -derivations

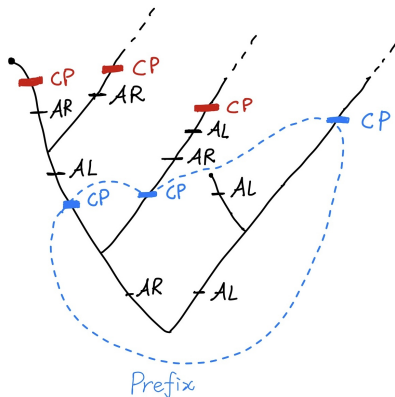
An IS_{\llcorner}^{δ} -**derivation** is a possibly infinite tree built with the rules of IS_{\llcorner}^{δ} such that there are

- infinitely many checkpoints on each infinite branch, and
- exactly one (\mathcal{AL}) and exactly one (\mathcal{AR}) between any two consecutive checkpoints of any branch.

IS_{∞}^{δ} -derivations

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Cuts admissibility

Theorem

The following two cut rules are admissible in IS_{\leq}^{δ} :

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Proof sketch:

We define two measures, $pw(\cdot)$ and $fw(\cdot)$, on the number of **rules** and the number of **unfolding rules** within the prefix of an IS_{\leq}^{δ} -derivation.

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Proof sketch:

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We then prove the two admissibilities by mutual induction on (f, s, p) where $f = fw(\pi_1) + fw(\pi_2)$, $s = size(B)$ and $p = pw(\pi_1) + pw(\pi_2)$.

Cut elimination: some cases

$$\frac{\frac{C \triangleleft \Gamma, A \vdash_{\delta} B}{C \triangleleft \Gamma \vdash_{\delta} A \rightarrow B} \rightarrow R \quad \frac{D \triangleleft \vdash_{\delta} A \quad B \triangleleft \Delta \vdash_{\delta} E}{A \rightarrow B \triangleleft D, \Delta \vdash_{\delta} E} \rightarrow L}{C \triangleleft \Gamma, D, \Delta \vdash_{\delta} E} \text{tcut} \quad \rightsquigarrow \quad \frac{\frac{D \triangleleft \vdash_{\delta} A \quad C \triangleleft \Gamma, A \vdash_{\delta} B}{C \triangleleft \Gamma, D \vdash_{\delta} B} \text{scut} \quad B \triangleleft \Delta \vdash_{\delta} E}{C \triangleleft \Gamma, D, \Delta \vdash_{\delta} E} \text{tcut}$$

$$\frac{\frac{A \triangleleft \Gamma \vdash_{\delta} \delta X}{A \triangleleft \Gamma \vdash_{\delta} X} \text{AR} \quad \frac{\delta X \triangleleft \Delta \vdash_{\delta} B}{X \triangleleft \Delta \vdash_{\delta} B} \text{AL}}{A \triangleleft \Gamma, \Delta \vdash_{\delta} B} \text{tcut} \quad \rightsquigarrow \quad \frac{A \triangleleft \Gamma \vdash_{\delta} \delta X \quad \delta X \triangleleft \Delta \vdash_{\delta} B}{A \triangleleft \Gamma, \Delta \vdash_{\delta} B} \text{tcut}$$

$$X \leq Y \quad \frac{X \triangleleft \vdash_{\delta} Y}{X \triangleleft \vdash_{\delta} Y} \text{CP} \quad Y \leq Z \quad \frac{Y \triangleleft \vdash_{\delta} Z}{Y \triangleleft \vdash_{\delta} Z} \text{CP} \quad \rightsquigarrow \quad \frac{X \triangleleft \vdash_{\delta} Y \quad Y \triangleleft \vdash_{\delta} Z}{X \triangleleft \vdash_{\delta} Z} \text{tcut}$$

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Equivalence between $\text{BCD}_{\approx}^{\delta}$ and $\text{IS}_{\approx}^{\delta}$

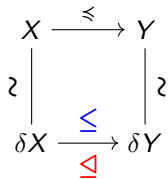
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The equivalence between $\text{BCD}_{\approx}^{\delta}$ and $\text{IS}_{\approx}^{\delta}$ requires more assumptions.

We say that (\approx, δ) is **safe** if for all X, Y such that $X \approx Y$, we have

- $\delta X \leq \delta Y$ in BCD_{\leq} , or
- an IS_{\leq} -derivation $\tau_{X,Y}$ of $\delta X \triangleleft \vdash \delta Y$.

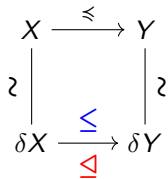


Equivalence between $\text{BCD}_{\approx}^{\delta}$ and $\text{IS}_{\approx}^{\delta}$

The equivalence between $\text{BCD}_{\approx}^{\delta}$ and $\text{IS}_{\approx}^{\delta}$ requires more assumptions.

We say that (\approx, δ) is **safe** if for all X, Y such that $X \approx Y$, we have

- $\delta X \leq \delta Y$ in BCD_{\leq} , or
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We say that δ is η -**safe** if δX is an intersection of arrow types for all X .

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$$\begin{array}{ccc} X & \xrightarrow{\approx} & Y \\ \wr \Big| & & \Big| \wr \\ \delta X & \xrightarrow{\leq} & \delta Y \\ & \triangleleft & \end{array}$$

We say that δ is η -**safe** if δX is an intersection of arrow types for all X .

In the following, we assume that (\approx, δ) is *safe*.

Equivalence between $\text{BCD}_{\approx}^{\delta}$ and $\text{IS}_{\approx}^{\delta}$

Thanks to the safety of (\approx, δ) , an IS_{\approx} -derivation ρ can be mapped into an $\text{IS}_{\approx}^{\delta}$ -derivation $\bar{\rho}$. Consider the (\approx) leaves of ρ :

$$X \approx Y \frac{}{X \triangleleft \vdash Y} \approx \quad \mapsto \quad X \approx Y \frac{\frac{\overline{\tau_{X,Y}}}{\delta X \triangleleft \vdash_{\delta} \delta Y}}{X \triangleleft \vdash_{\delta} Y} \mathcal{AR}, \mathcal{AL}}{X \triangleleft \vdash_{\delta} Y} \mathcal{CP}$$

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In particular, for all X and Y such that $X \approx Y$, there is an $\text{IS}_{\approx}^{\delta}$ -derivation $\pi_{X,Y}$ of $X \sqsubseteq \vdash_{\delta} Y$.

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Also, for all A , there is an $\text{IS}_{\approx}^{\delta}$ -derivation of $A \sqsubseteq \vdash_{\delta} A$.

Equivalence between $\text{BCD}_{\approx}^{\delta}$ and $\text{IS}_{\approx}^{\delta}$

Theorem

If we have $A \leq B$ in $\text{BCD}_{\approx}^{\delta}$ then $A \triangleleft_{\delta} B$ has an $\text{IS}_{\approx}^{\delta}$ -derivation.

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By induction on the definition of \leq .



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Proof.

By induction on the definition of \leq .

$$\begin{array}{ccc} X \approx Y \frac{}{X \leq Y} & \mapsto & \pi_{X,Y} \\ \frac{A \leq B \quad B \leq C}{A \leq C} & \mapsto & \frac{A \sqsubseteq \vdash_{\delta} B \quad B \sqsubseteq \vdash_{\delta} C}{A \sqsubseteq \vdash_{\delta} C} \text{ tcut} \\ \frac{}{X \leq \delta X} & \mapsto & \frac{\delta X \sqsubseteq \vdash_{\delta} \delta X}{X \sqsubseteq \vdash_{\delta} \delta X} \mathcal{AL} \end{array}$$

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Equivalence between $\text{BCD}_{\leq}^{\delta}$ and $\text{IS}_{\leq}^{\delta}$

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Theorem

If $A \sqsubseteq \vdash_{\delta} B$ has an $\text{IS}_{\leq}^{\delta}$ -derivation then we have $A \leq B$ in $\text{BCD}_{\leq}^{\delta}$.

β - and η -conditions

Thanks to the structure of IS_{\leq}^{δ} -derivations, the following lemma is easy to prove.

Lemma (Generalized β -condition for IS_{\leq}^{δ})

The following property holds in IS_{\leq}^{δ} :

$$\bigcap_{i \in I} A_i \rightarrow B_i \triangleleft A, \Gamma \vdash_{\delta} B \quad \Longrightarrow \quad \exists J \subseteq I, \quad A \triangleleft \vdash_{\delta} \bigcap_{j \in J} A_j \quad \wedge \quad \bigcap_{j \in J} B_j \triangleleft \Gamma \vdash_{\delta} B$$

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Hence, BCD_{\leq}^{δ} satisfies the β -condition.

The η -condition is an immediate consequence of the η -safety.

Comparing with strong β systems

In the literature, one can find the following definition of strong β systems.

Definition 5 (*Strong beta preorders*). A type preorder Σ^∇ is *strong beta* if $\nabla = \mathcal{BCD} \cup \nabla^-$ and:

(1) ∇^- contains no rule and only axioms of one of the following two shapes:

- $\psi \leq \psi'$,
- $\psi \sim \bigcap_{i \in I} (\psi_i^{(1)} \rightarrow \psi_i^{(2)})$,

where $\psi, \psi', \psi_i^{(1)}, \psi_i^{(2)} \in \mathbb{C}^\nabla$, and $\psi, \psi', \psi_i^{(2)} \neq \Omega$ for all $i \in I$;

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if $(\bigcap_{i \in I} (A_i \rightarrow B_i)) \cap (\bigcap_{h \in H} \psi_h) \leq \nabla (\bigcap_{j \in J} (C_j \rightarrow D_j)) \cap (\bigcap_{k \in K} \varphi_k)$, then $\forall j \in J. (\bigcap_{i \in I'} B_i) \cap (\bigcap_{h \in H'} (\bigcap_{l \in L(\psi_h)'} \xi_l^{(\psi_h)})) \leq \nabla D_j$ where $I' = \{i \in I \mid C_j \leq \nabla A_i\}$, $L(\psi_h)' = \{l \in L(\psi_h) \mid C_j \leq \nabla \xi_l^{(\psi_h)}\}$, $H' = \{h \in H \mid L(\psi_h)' \neq \emptyset\}$;

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Proposition

If \mathcal{S} is a strong β system, there exists a safe and η -safe pair (\leq, δ) such that \mathcal{S} is $\mathcal{BCD}_{\leq}^\delta$.

Instances

We have already seen:

Name	Atoms	$<$	δ	δ
BCD	\mathcal{A}	\emptyset	$\delta X := X$	
Scott	\mathcal{A}	\emptyset	$\delta X := \Omega \rightarrow X$	
Park	\mathcal{A}	\emptyset	$\delta X := X \rightarrow X$	
CDZ	\mathbb{B}	$\varphi < \psi$	$\delta\varphi := \psi \rightarrow \varphi$	$\delta\psi := \varphi \rightarrow \psi$
HR	\mathbb{B}	$\varphi < \psi$	$\delta\varphi := \psi \rightarrow \varphi$	$\delta\psi := (\varphi \rightarrow \varphi) \cap (\psi \rightarrow \psi)$
DHM	\mathbb{B}	$\varphi < \psi$	$\delta\varphi := \Omega \rightarrow \varphi$	$\delta\psi := \varphi \rightarrow \psi$
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We can also consider:

Atoms	$<$	δ		
\mathbb{T}	$\varphi < \psi$	$\delta\varphi := \Omega \rightarrow \varphi$	$\delta\psi := \Omega \rightarrow \psi$	$\delta\kappa := \varphi \rightarrow \psi$
\mathbb{T}	$\varphi < \psi$	$\delta\varphi := \kappa \rightarrow \varphi$	$\delta\psi := \kappa \rightarrow \psi$	$\delta\kappa := \kappa \rightarrow \kappa$
\mathbb{B}	$\varphi < \psi$	$\delta\varphi := (\varphi \rightarrow \varphi \rightarrow \varphi) \cap (\varphi \rightarrow \varphi \rightarrow \psi)$		$\delta\psi := \varphi \rightarrow \varphi \rightarrow (\varphi \cap \psi)$
\mathbb{T}	$\varphi < \psi$	$\delta\varphi := (\varphi \rightarrow \varphi \rightarrow \kappa) \cap (\varphi \rightarrow \psi \rightarrow \psi)$		$\delta\psi := \varphi \rightarrow \varphi \rightarrow (\kappa \cap \psi)$
\vdots	\vdots	$\delta\kappa := \kappa \rightarrow \kappa$	\vdots	

where $\mathbb{T} = \{\varphi, \psi, \kappa\}$.

Conclusion and future directions

We have used techniques from proof theory to build transitivity-free presentations of a broad class of intersection subtyping systems with fixpoint equations. In particular, we generalize the “strong beta condition” to give a generic proof of the β -condition for subtyping.

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Natural candidate: universal quantification (\forall) \rightarrow polymorphic subtyping.

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Thank you for your listening!